### The Scattering Matrix

Motivation for introducing the SM:

- (1) The open and short circuit required for the Z and Y parameters cannot usually be implemented in actual high-frequency measurements (parasitic C and L);
- (2) There may be biasing and/or stability problems for active devices. Hence, it is preferable to measure the two-port under its actual operating conditions;
- (3) At microwave frequencies, V and I are hard to measure; only power and phase are detectable.
- (4) Always exist.
- D.M Pozar, John Wiley; Microwave Engineering

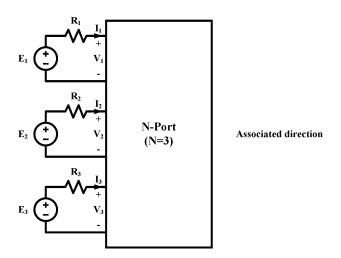


A photograph of the Hewlett-Packard HP8510B Network Analyzer. This test instrument is used to measure the scattering parameters (magnitude and phase) of a one ore two-port microwave network from 0.05 GHz to 26.5 GHz. Built-in microprocessors provide error correction, a high degree of accuracy, and a wide choice of display formats. This analyzer can also perform a fast Fourier transform of the frequency domain data to provide a time domain response of the network under test.

#### **Definitions**

We shall use variables, which are directly related to power. The inputs (incident signals) are related to the known maximum available power of the generator at the port; outputs (reflected signals) are related to the actual output power at the port.

Assume resistive terminations  $R_k$  at all ports, with or without a generator  $E_k$ . The <u>actual</u> port variables are  $V_k$  and  $I_k$ , considered <u>peak value</u> phasors of steady-state sine-wave signals.



We define the following hypothetical quantities:

<u>Incident voltage</u>  $V_{ik}$  at port k: the output voltage  $E_k/2$  of the generator at port k under matching (max-power) conditions.

Incident current  $I_{ik}$  at port k: the output current  $Ek/(2R_k)$  of the generator at port k under matching conditions.

<u>Incident wave signal</u>  $a_k$  at port k:  $a_k = \sqrt{V_{ik}I_{ik}} = \frac{V_{ik}}{\sqrt{R_k}} = I_{ik}\sqrt{R_k}$ 

Hence,  $\frac{|a_k|^2}{2} = \frac{E_k^2}{8R_k} = P_{\max k}$ 

**<u>Reflected voltage</u>** V<sub>rk</sub> at port k: the difference between the actual and incident voltages;

 $V_{rk} = V_k - V_{ik}$ . If there is no generator,  $V_{rk}$  equals the actual voltage at port k.

**<u>Reflected current</u>** I<sub>rk</sub> at port k: The difference between the incident and actual currents (notice the sign difference!);  $I_{RK} = I_{ik}$ -I<sub>k</sub>. If there is no generator at port k,  $I_{rk} = -I_k$ .  $V_{rk} = R_k I_{rk}$ .

**<u>Reflected wave</u>** (signal)  $b_k$  at port k:  $b_k = \sqrt{V_{rk}I_{rk}} = V_{rk}/\sqrt{R_k} = I_{rk}\sqrt{R_k}$ 

Hence  $|\mathbf{b}_k|^2 / 2 = V_{rk} \cdot \mathbf{I}_{rk} / 2$ . If there is no generator, this is the actual power exiting at port k.

As will be shown, the actual power entering at pork k where there is a generator is

$$\left[\left|a_{k}\right|^{2}-\left|b_{k}\right|^{2}\right]/2.$$

<u>Scattering Matrix S</u> connects the column vectors <u>a</u> and <u>b</u> formed from all incident and reflected signals:  $\underline{b} = \underline{S} \underline{a}$ 

From this definition it follows that the lm element of  $\underline{S}$  is given by

$$S_{lm} = \frac{b_l}{a_m} = \frac{V_{r_l} / \sqrt{R_l}}{E_m / (2\sqrt{R_m})} = \frac{V_l / \sqrt{R_l}}{E / (2\sqrt{R_m})}, \quad l \neq m$$

Where  $E_k=0$  is set for all  $k \neq m$ .

 $|S_{lm}|^2$  has a clear physical meaning:

$$|S_{lm}|^2 = \frac{P_{rl}}{P_{max m}} =$$
 actual power leaving port l  
maximum power from port m

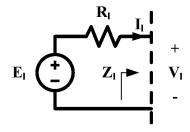
 $E_k=0$  for  $k \neq m$ .

For the diagonal element  $s_{11}$ , with the only generator  $E_1$ .

$$V_l = E_l \frac{Z_l}{Z_l + R_l}$$

Which gives

$$\frac{b_l}{a_l} = s_{ll} = \frac{Z_l - R_l}{Z_l + R_l}$$



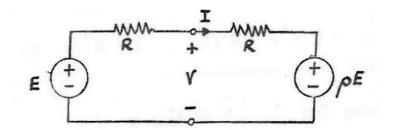
<u>Reflection Coefficient</u> at port 1:

Gives the ratio of the reflected and incident powers. The power entering port l is therefore given by:

$$P_{l} = P_{il} - P_{rl} = P_{il} \left[ 1 - |S_{ll}|^{2} \right]$$

Noted that the scattering relations given above describe not only the N-port (like e.g., the open-circuit impedance equations) but also its terminations and sources.

## Scattering variables

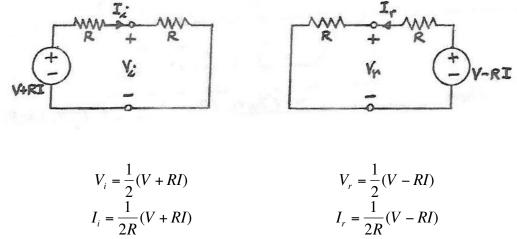


By KVL, 
$$E = V + RI$$
  $\rho E = V - RI = \frac{V - RI}{V + RI}E$ 

So  $\rho = \frac{V - RI}{V + RI} = \frac{Z - R}{Z + R}$ 

the Reflectance (Reflection Coefficient)

By superposition:



$$V_i = RI_i$$

$$V_r = \frac{1}{2R}(V - RI)$$
$$V_r = RI_r$$

We define:

Incident signal = 
$$a = \sqrt{V_i I_i}$$
  
=  $R^{-\frac{1}{2}}V_i$   
=  $R^{\frac{1}{2}}I_i$   
Reflected signal =  $b = \sqrt{V_r I_r}$   
=  $R^{-\frac{1}{2}}V_r$   
=  $R^{\frac{1}{2}}I_r$ 

$$a = \frac{1}{2} \left( R^{-\frac{1}{2}} V + R^{\frac{1}{2}} I \right) \qquad V = R^{\frac{1}{2}} (a+b)$$
$$b = \frac{1}{2} \left( R^{-\frac{1}{2}} V - R^{\frac{1}{2}} I \right) \qquad I = R^{-\frac{1}{2}} (a-b)$$

Given that 
$$V = ZI$$
  
 $R^{\frac{1}{2}}(a+b) = ZR^{-\frac{1}{2}}(a-b)$   
 $a+b = z_n(a-b)$  where  $Z_n = R^{-\frac{1}{2}}ZR^{-\frac{1}{2}}$   
 $(Z_n+1)b = (Z_n-1)a$   
 $b = (Z_n+1)^{-1}(Z_n-1)a$   
 $b = Sa$   
where  $S = (Z_n+1)^{-1}(Z_n-1)$   $= \rho = (Z-R)/(Z+R)$ 

If the 1-port is passive, Z &  $Z_n$  are positive functions.

i.e.  $\operatorname{Re}\{Z\} \ge 0$  for  $\sigma \ge 0$  & the same for  $Z_n$ 

Then S satisfies  $|S| \le 0$  for  $\sigma \ge 0$ 

S is then called a bounded function

 $Z_n$  is positive iff S is bounded.

# Power relations

The active power absorbed by the 1-port is

In the harmonic state  $\sigma = 0$  &  $W_a = P$ 

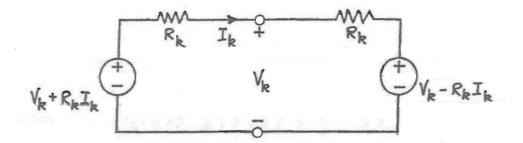
$$P = \frac{1}{2}(1 - |S|^2) |a|^2$$

P is a maximum when  $|S|^2 = 0$  i.e. Z = R

So  $P_{\text{max}} = \frac{1}{2} |a|^2$  is maximum available power from source.

Hence  $\underline{P = (1 - |S|^2) P_{max}}$ 

N-port case



 $\underline{V} = n\text{-vector of port voltages}$  $\underline{I} = n\text{-vector of port current}$  $\underline{R} = \text{diag} (R_1, R_2, \dots, R_n) \& \underline{R}^{-1}$  $\underline{R}^{\frac{1}{2}} = \text{diag} (R_1^{\frac{1}{2}}, R_2^{\frac{1}{2}}, \dots, R_n^{\frac{1}{2}}) \& \underline{R}^{-\frac{1}{2}}$ 

Exactly as in the 1-port case

$$\underline{a} = \frac{1}{2} \left( \underline{R}^{-\frac{1}{2}} \underline{V} + \underline{R}^{\frac{1}{2}} \underline{I} \right) \qquad \qquad \underline{V} = \underline{R}^{\frac{1}{2}} (\underline{a} + \underline{b})$$
$$\underline{b} = \frac{1}{2} \left( \underline{R}^{-\frac{1}{2}} \underline{V} - \underline{R}^{\frac{1}{2}} \underline{I} \right) \qquad \qquad \underline{I} = \underline{R}^{-\frac{1}{2}} (\underline{a} - \underline{b})$$

$$\underbrace{V_i}_{I_i} = \underline{R}^{\frac{1}{2}} \underline{a} \qquad \qquad I_i = \underline{R}^{-\frac{1}{2}} \underline{a} \\
 \underbrace{V_r}_{I_i} = \underline{R}^{\frac{1}{2}} \underline{b} \qquad \qquad I_r = \underline{R}^{-\frac{1}{2}} \underline{b}$$

The scattering matrix is defined by

 $\underline{\mathbf{b}} = \underline{\mathbf{S}} \ \underline{\mathbf{a}}$ 

<u>Relation between  $\underline{S}$  and  $\underline{Z}$ </u>

$$\underline{V} = \underline{ZI}$$

$$\underline{R}^{\frac{1}{2}}(a+b) = \underline{ZR}^{-\frac{1}{2}}(\underline{a}-\underline{b})$$

$$\underline{a}+\underline{b} = \underline{R}^{-\frac{1}{2}}\underline{ZR}^{-\frac{1}{2}}(\underline{a}-\underline{b}) = \underline{Z_n}(\underline{a}-\underline{b})$$

$$\underline{Z_n} = \underline{R}^{-\frac{1}{2}}\underline{ZR}^{-\frac{1}{2}}$$

$$(\underline{Z_n}+\underline{1})\underline{b} = (\underline{Z_n}-\underline{1})\underline{a}$$

$$\underline{b} = (\underline{Z_n}+\underline{1})^{-1}(\underline{Z_n}-\underline{1})\underline{a}$$

$$\underline{S} = (\underline{Z_n}+\underline{1})^{-1}(\underline{Z_n}-\underline{1}) = (\underline{Z_n}-\underline{1})(\underline{Z_n}+\underline{1})^{-1}$$

$$\underline{S} = (\underline{Z_n}-\underline{1})(\underline{Z_n}+\underline{1})^{-1} = (\underline{Z_n}+\underline{1}-\underline{2})(\underline{Z_n}+\underline{1})^{-1}$$

$$\underline{S} = (\underline{Z_n}+\underline{1})^{-1} = \underline{1-2Y_{an}}$$

Where

$$\underline{Y}_{an} = (\underline{Z}_{n} + \underline{1})^{-1} = (\underline{R}^{-\frac{1}{2}} \underline{Z} \underline{R}^{-\frac{1}{2}} + \underline{R}^{-\frac{1}{2}} \underline{R} \underline{R}^{-\frac{1}{2}})^{-1} \\
= \left[\underline{R}^{-\frac{1}{2}} (\underline{Z} + \underline{R}) \underline{R}^{-\frac{1}{2}}\right]^{-1} \\
\underline{= R}^{\frac{1}{2}} (\underline{Z} + \underline{R})^{-1} \underline{R}^{\frac{1}{2}} \qquad (Normalized \& augmented \underline{Y} matrix)$$

As  $\underline{Y}_{\underline{a}}$ , and hence  $\underline{Y}_{\underline{an}}$ , always exists for any well-defined n-port, it means that  $\underline{S}$  also always exists.

Examples

Ideal transformer

$$Y_{\underline{a}} = \frac{1}{n_2^2 R_1 + n_1^2 R_2} \begin{bmatrix} n_2^2 & -n_1 n_2 \\ -n_1 n_2 & n_1^2 \end{bmatrix}$$

$$Y_{\underline{an}} = \frac{1}{n_2^2 R_1 + n_1^2 R_2} \begin{bmatrix} n_2^2 R_1 & -n_1 n_2 \sqrt{R_1 R_2} \\ -n_1 n_2 \sqrt{R_1 R_2} & n_1^2 R_2 \end{bmatrix}$$

$$S = 1 - 2Y_{\underline{an}} = \frac{1}{n_2^2 R_1 + n_1^2 R_2} \begin{bmatrix} n_1^2 R_2 - n_2^2 R_1 & 2n_1 n_2 \sqrt{R_1 R_2} \\ 2n_1 n_2 \sqrt{R_1 R_2} & n_2^2 R_1 - n_1^2 R_2 \end{bmatrix}$$
If  $\frac{R_1}{R_2} = \frac{n_1^2}{n_2^2}$  or  $n_1^2 R_2 = n_2^2 R_1$   
Then  $\underline{S} = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ 

# Alternative method

Alternative (ideal transformer)  

$$S_{11} = \frac{Z_1 - R_1}{Z_1 + R_1} = \frac{(n_1/n_2)^2 R_2 - R_1}{(1)^2 R_2 + R_1} = \frac{n_1^2 R_2 - n_2^2 R_1}{n_1^2 R_2 + n_2^2 R_1}$$

$$a_1 = E_1 / 2 \sqrt{R_1}$$

$$b_2 = \sqrt{2} / \sqrt{R_2} = \frac{n_2}{n_1} \frac{\sqrt{1}}{\sqrt{R_2}} = \frac{h_2}{n_1} E_1 \frac{(n_1/n_2)^2 R_2}{R_1 + (n_1/n_2)^2 R_2} \frac{1}{\sqrt{R_2}}$$

$$= \frac{n_2 E_1}{n_1 \sqrt{R_2}} \frac{n_1^2 R_2}{n_1^2 R_2 + n_2^2 R_1}$$

$$5_{21} = \frac{b_2}{a_1} = 2 \sqrt{\frac{R_1}{R_2}} \frac{n_2}{n_1} \frac{(n_1 - n_1^2)^2 R_2}{n_1^2 R_2 + n_2^2 R_1} = \frac{2n_1 n_2 \sqrt{R_1 R_2}}{n_1^2 R_2 + n_2^2 R_1}$$

Going back to

$$\underline{S} = (\underline{Z}_{n} + 1)^{-1} (\underline{Z}_{n}^{-1} - \underline{1})$$

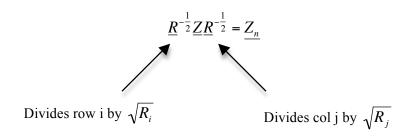
$$= \left[ \underline{R}^{-\frac{1}{2}} \underline{Z} \underline{R}^{-\frac{1}{2}} + \underline{R}^{-\frac{1}{2}} \underline{R} \underline{R}^{-\frac{1}{2}} \right]^{-1} \left[ \underline{R}^{-\frac{1}{2}} \underline{Z} \underline{R}^{-\frac{1}{2}} - \underline{R}^{-\frac{1}{2}} \underline{R} \underline{R}^{-\frac{1}{2}} \right]$$

$$= \left[ \underline{R}^{-\frac{1}{2}} (\underline{Z} + \underline{R}) \underline{R}^{-\frac{1}{2}} \right]^{-1} \left[ \underline{R}^{-\frac{1}{2}} (\underline{Z} - \underline{R}) \underline{R}^{-\frac{1}{2}} \right]$$

$$= \underline{R}^{\frac{1}{2}} (\underline{Z} + \underline{R})^{-1} \underline{R}^{\frac{1}{2}} \underline{R}^{-\frac{1}{2}} (\underline{Z} - \underline{R}) \underline{R}^{-\frac{1}{2}}$$

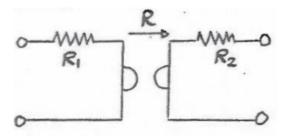
$$\underline{S} = \underline{R}^{\frac{1}{2}} (\underline{Z} + \underline{R})^{-1} (\underline{Z} - \underline{R}) \underline{R}^{-\frac{1}{2}}$$

**Normalization** 



$$(i, j)^{\text{th}}$$
 element of  $\underline{Z_n}$  is  $\frac{Z_{ij}}{\sqrt{R_i R_j}}$ 

<u>Gyrator</u>



$$\begin{split} \underline{Y}_{\underline{a}} &= \begin{bmatrix} R_{1} & -R \\ R & R_{2} \end{bmatrix}^{-1} = \frac{1}{R^{2} + R_{1}R_{2}} \begin{bmatrix} R_{2} & R \\ -R & R_{1} \end{bmatrix} \\ \\ \underline{Y}_{\underline{an}} &= \frac{1}{R^{2} + R_{1}R_{2}} \begin{bmatrix} R_{1}R_{2} & R\sqrt{R_{1}R_{2}} \\ -R\sqrt{R_{1}R_{2}} & R_{1}R_{2} \end{bmatrix} \\ \\ \underline{S} &= \underline{1} - 2\underline{Y}_{\underline{an}} = \frac{1}{R^{2} + R_{1}R_{2}} \begin{bmatrix} R^{2} - R_{1}R_{2} & -2R\sqrt{R_{1}R_{2}} \\ 2R\sqrt{R_{1}R_{2}} & R^{2} - R_{1}R_{2} \end{bmatrix} \\ \\ \text{If } R_{1}R_{2} &= R^{2} \end{split}$$

Then 
$$\underline{S} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$
 Note: We do not require  $R_1 = R_2 = R_1$ 

Direct calculation:

To find  $S_{12}$  &  $S_{22}$ , move E is series with  $R_2$ 

Then,  $R_1 \leftrightarrow R_2$ ,  $R \rightarrow -R$ , hence  $S_{22} = S_{11}$ ,  $S_{12} = -S_{21}$ 

and a state	'n	7	Y	ABUD
	o	$(Z_{11} - Z_0)(Z_{23} + Z_0) - Z_{12}Z_{21}$	$(Y_0 - Y_{11})(Y_0 + Y_{22}) + Y_{12}Y_{21}$	$A + B/Z_0 - CZ_0 - D$
	nc	ΔZ	ΔY	$A + B/Z_0 + CZ_0 + D$
0.1	ŭ	2Z12Z0	-2Y12Y6	2(AD - BC)
	80	42	AY	$A+B/Z_0+CZ_0+D$
	<u>6.</u>	2Z21Z0	-2Y21Y6	2
	12	20	<u> </u>	$A + B/Z_0 + CZ_0 + D$
- See	J	$(Z_{11} + Z_0)(Z_{22} - Z_0) - Z_{12}Z_{21}$	$(Y_0 + Y_{11})(Y_0 - Y_{22}) + Y_{12}Y_{21}$	$-A + B/Z_0 - CZ_0 + D$
10	8	Δ2	ΔY	$A + B/Z_0 + CZ_0 + D$
Zu	$Z_0 \frac{(1+S_{11})(1-S_{22})+S_{12}S_{21}}{(1+S_{11})(1-S_{22})+S_{12}S_{21}}$	ZII	Ma	A
	1)(11		N	5
Zm	- 1	Z	-Y12	AD - BC
	$(1 - S_{11})(1 - S_{22}) - S_{12}S_{21}$	112	X	0
7			-Y2	•
-	$(1 - S_{11})(1 - S_{22}) - S_{12}S_{21}$	127	X	O
-	$_{n}$ (1 - S <sub>11</sub> )(1 + S <sub>22</sub> ) + S <sub>12</sub> S <sub>21</sub>		, Xi	a
R2	$Z_0 (1 - S_{11})(1 - S_{22}) - S_{12}S_{21}$	72		0
	$v_{\rm v} (1 - S_{\rm 11})(1 + S_{\rm 22}) + S_{\rm 12}S_{\rm 21}$	22	and an an and an	D
-	$x_0(1+S_{11})(1+S_{22})-S_{12}S_{21}$	2	Zu ·	B
		-Zu		BC-AD
	$S_{12}^{0}(1+S_{11})(1+S_{22})-S_{12}S_{21}$	121	łu	B
Y <sub>21</sub>	Y <sub>0</sub> 2S <sub>21</sub>	-21	ž	71
	$(1 + S_{11})(1 + S_{22}) - S_{12}S_{21}$		107	B
Ym	$V_{\alpha} \frac{(1+S_{11})(1-S_{22})+S_{12}S_{21}}{2}$	ZII	2	A
1	$(1 + S_{11})(1 + S_{22}) - S_{12}S_{21}$	121	Rr	B
-	$(1 + S_{11})(1 - S_{22}) + S_{12}S_{21}$	ZII	-Ya	
	2.S <sub>11</sub>	Zn	. Ya	e
~	$Z_0 \frac{(1+S_{11})(1+S_{22})-S_{12}S_{21}}{2}$	Z	71;	-
	1.02 = 300 = 500	221	K1	
0	Zo 25,	2	E Constantino de la constant	o
5	$(1 - S_{11})(1 + S_{22}) + S_{12}S_{21}$	Zu	-71	
	2521	21	<u>Y1</u>	'n

.

•

.

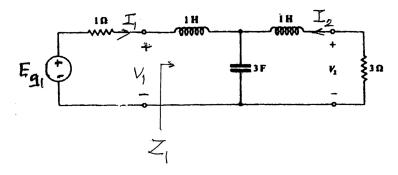
Pozal

• • •

.

Find the scattering matrix S(s) of the circuit shown using direct analysis.

(Note: the circuit is the same as on p.74 of the notes.)



From the circuit,

$$Z_{1} = \frac{3s^{3} + 9s^{2} + 2s + 3}{3s^{2} + 9s + 1}$$
$$S_{11} = \frac{Z_{1} - R_{1}}{Z_{1} + R_{1}} = \frac{3s^{3} + 6s^{2} - 7s + 2}{3s^{3} + 12s^{2} + 11s + 4}$$

By circuit analysis,

$$V_{2} = \frac{3E_{g1}}{3s^{3} + 12s^{2} + 11s + 4} = V_{2r}$$
$$S_{21} = 2\sqrt{\frac{R_{1}}{R_{2}}} \frac{V_{2r}}{E_{g1}} = \frac{2\sqrt{3}}{3s^{3} + 12s^{2} + 11s + 4}$$

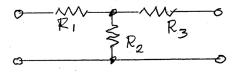
Method of using  $\underline{Y_{an}}$ 

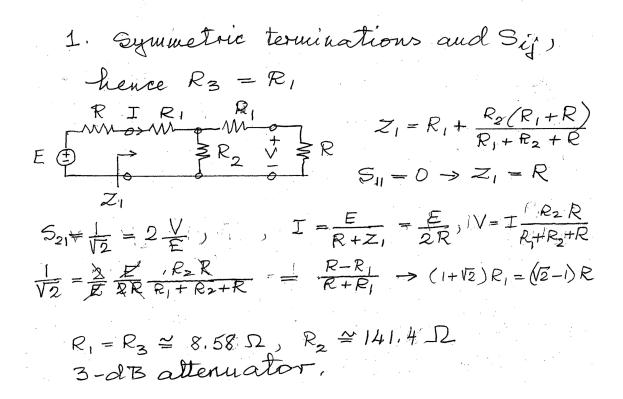
$$ZV \bigoplus_{R_{1} + L_{1}} V_{1} = \frac{1}{2} \bigoplus_{L_{2} + L_{2}} U_{2} = \frac{1}{2} \bigoplus_{L_{2} + L_{2} + L_{2}} = \frac{1}{2} \bigoplus_{L_{2} + L_{2} + L_{2} + L_{2} = \frac{1}{2} \bigoplus_{L_{2} = \frac{1}{2} \bigoplus_{L_{2} + L_{2} = \frac{1}{2} \bigoplus_{L_{2} = \frac{1}{2} \bigoplus_{L_{2} + L_{2} = \frac{$$

1. The twoport shown operates between two 50-ohm terminations. Its scattering parameters must be S11 = S22 = 0, and S12 = S21 = 0.707.

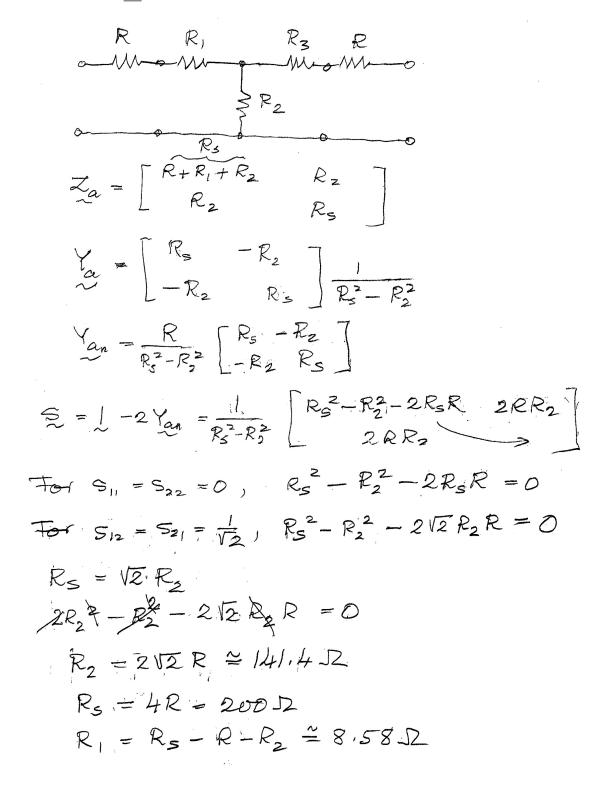
a. Find the element values R1, R2 and R3.

b. What function can the twoport perform?





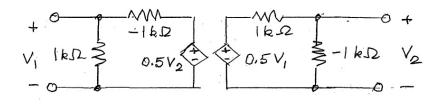
Method of using  $Y_{an}$ 

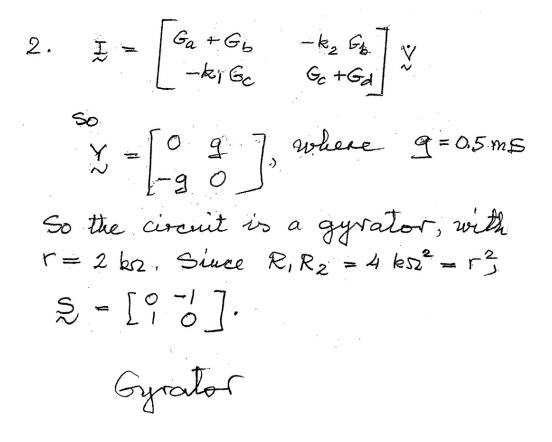


2.a. Find the Y matrix of the twoport shown.

b. Find its scattering matrix if it is connected between terminations R1 = 1 k  $\Omega$  and R2 = 4 k  $\Omega.$ 

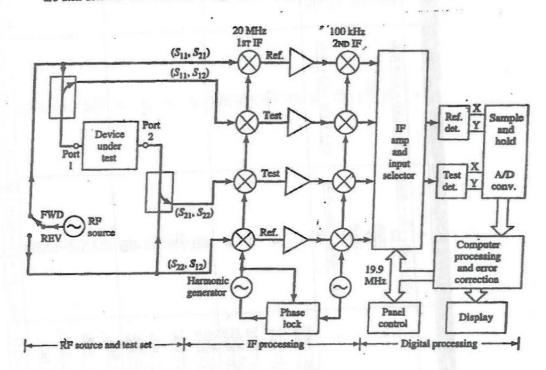
c. What function does the twoport perform?





#### POINT OF INTEREST: The Vector Network Analyzer

The S parameters of passive and active networks can be measured with a vector network analyzer, which is a two- (or four-) channel microwave receiver designed to process the magnitude and phase of the transmitted and reflected waves from the network. A simplified block diagram of a network analyzer similar to the HP8510 system is shown below. In operation, the RF source is usually set to sweep over a specified bandwidth. A four-port reflectometer samples the incident, reflected, and transmitted RF waves; a switch allows the network to be driven from either port 1 or port 2. Four dual-conversion channels convert these signals to 100 kHz IF frequencies, which are then detected and converted to digital form. A powerful internal computer is used to calculate



and display the magnitude and phase of the S parameters, or other quantities that can be derived from the S parameters, such as SWR, return loss, group delay, impedance, etc. An important feature of this network analyzer is the substantial improvement in accuracy made possible with error correcting software. Errors caused by directional coupler mismatch, imperfect directivity, loss, and variations in the frequency response of the analyzer system are accounted for by using a twelve-term error model and a calibration procedure. Another useful feature is the capability to determine the time domain response of the network by calculating the inverse Fourier transform of the frequency domain data.